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*Christine Sherwood*

Christine Sherwood

Applicant : Jan O. Solem, et al.  
Application No. : 09/775,677  
Filed : February 5, 2001  
Title : METHOD AND DEVICE FOR TREATMENT OF MITRAL INSUFFICIENCY  
  
Grp./Div. : 3738  
Examiner : Urmi Chattopadhyay  
  
Docket No. : ECV 597 CIP/49988/MEG/E303

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INFORMATION DISCLOSURE STATEMENT WITH FEE  
UNDER 37 CFR §§ 1.97(c) AND 1.17(p) (No. 3)

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March 11, 2004

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Enclosed is the processing fee of \$180 as required by 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any fees which may be required by this paper to

**Application No. 09/775,677**

Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction. **A copy of this paper is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Mark Garscia

Mark Garscia

Reg. No. 31,953

626/795-9900

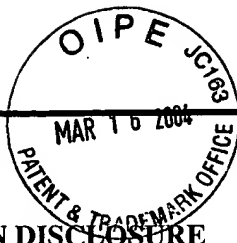
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Enclosures: Check \$180

Copy of IDS

Form PTO/SB/08A/B, w/15 references

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# INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number

ECV 597CIP/49988/MEG/E303

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Applicant(s)

Jan O. Solem, et al.

Group Art Unit

3738

Examiner Name

Urmi Chattopadhyay

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,954,126	09-04-1990	Wallsten
		5,061,275	10-29-1991	Wallsten et al.
		5,534,007	07-09-1996	St. Germain et al.
		5,571,135	11-05-1996	Fraser et al.
		5,713,949	02-03-1998	Jayaraman
		6,027,525	02-22-2000	Suh et al.
		6,350,277 B1	02-26-2002	Kocur

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)

## OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		LAAKSOVIRTA et al., <i>Expansion and bioabsorption of the self-reinforced lactic and glycolic acid copolymer prostatic spiral stent</i> , PubMed, Excerpt from J Urol 2001 Sep; 166(3):919-22, one sheet
		LIU et al., <i>Sutural expansion osteogenesis for management of the bony-tissue defect in cleft palate repair: experimental studies in dogs</i> , PubMed, Excerpt from Plast Reconstr Surg 2000 May; 105(6):2012-25; discussion 2026-7, two sheets
		YONEYAMA et al., <i>Super-elastic property of Ti-Ni alloy for use in dentistry</i> , PubMed, Excerpt from Front Med Biol Eng 2000; 10(2):97-103, one sheet
		KOTIAN, <i>Shape memory effect and super elasticity it's dental applications</i> , PubMed, Excerpt from Indian J Dent Res 2001 Apr-Jun; 12(2):101-4, one sheet
		KUO et al., <i>The use of nickel-titanium alloy in orthopedic surgery in China</i> , PubMed, Excerpt from Orthopedics 1989 Jan; 12(1):111-6, one sheet

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**INFORMATION DISCLOSURE**

**STATEMENT BY APPLICANT**

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**Group Art Unit**

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**Examiner Name**

**Urmi Chattopadhyay**

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EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		CIVJAN et al., <i>Potential applications of certain nickel-titanium (nitinol) alloys</i> , PubMed, Excerpt from J Dent Res 1975 Jan-Feb;54(1):89-96, one sheet
		BRENNAN, <i>SUITE OF SHAPE-MEMORY POLYMERS</i> , <a href="http://pubs.acs.org/cen/topstory/7906notw1.html">http://pubs.acs.org/cen/topstory/7906notw1.html</a> , News of the Week Materials, February 5, 2001, Volume 79, Number 6, Ceneer 79 6 pp.5, ISSN 0009-2347, Mairin Brennan, three sheets
		STIKEMAN, <i>Total Recall</i> , Technology Review - Innovation: Total Recall, An MIT Enterprise Technology Review, June 2001, two sheets

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